

**UTILITY PATENT APPLICATION TRANSMITTAL  
(Small Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
40197-00536

Total Pages in this Submission  
140

**TO THE COMMISSIONER FOR PATENTS**

Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

22278 U.S. PTO  
10/714020



Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

"DIAGNOSTIC SIGNAL PROCESSING METHOD AND SYSTEM"

and invented by:

Warren P. Heim

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: 60/426,348

Which is a:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1.  Filing fee as calculated and transmitted as described below
2.  Specification having 126 pages and including the following:
  - a.  Descriptive Title of the Invention
  - b.  Cross References to Related Applications *(if applicable)*
  - c.  Statement Regarding Federally-sponsored Research/Development *(if applicable)*
  - d.  Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
  - e.  Background of the Invention
  - f.  Brief Summary of the Invention
  - g.  Brief Description of the Drawings *(if filed)*
  - h.  Detailed Description
  - i.  Claim(s) as Classified Below
  - j.  Abstract of the Disclosure

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**Application Elements (Continued)**

3.  Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a.  Formal      Number of Sheets      \_\_\_\_\_
- b.  Informal      Number of Sheets      46
4.  Oath or Declaration
- a.  Newly executed *(original or copy)*       Unexecuted
- b.  Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c.  With Power of Attorney       Without Power of Attorney
- d.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7.  Application Data Sheet (See 37 CFR 1.76)
8.  Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a.  Computer Readable Form (CFR)
- b.  Specification Sequence Listing on:
- i.  CD-ROM or CD-R (2 copies); or
- ii.  Paper
- c.  Statement(s) Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(B) Statement (when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement/PTO-1449       Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Certificate of Mailing
- First Class       Express Mail *(Specify Label No.):* EV328104485US

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**Acc mpanying Applicati n Parts (Continued)**

17.  Applicant claims small entity status. See 37 CFR 1.27.

(Optional) Small Entity Statement(s) - Specify Number of Statements Submitted: \_\_\_\_\_

18.  Additional Enclosures *(please identify below)*:

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

19.  Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

***An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.***

111403

16076 U.S. PTO

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**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	95	- 20 =	75	x \$9.00	\$675.00
Indep. Claims	17	- 3 =	14	x \$43.00	\$602.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
<b>BASIC FEE</b>					\$385.00
OTHER FEE (specify purpose) _____					\$0.00
<b>TOTAL FILING FEE</b>					\$1,662.00

- A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- The Director is hereby authorized to charge and credit Deposit Account No. **50-1419** as described below.
- Charge the amount of \_\_\_\_\_ as filing fee.
  - Credit any overpayment.
  - Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
  - Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: November 14, 2003

  
Signature

Kent A. Fischmann, Esq.  
Registration No. 35,511  
MARSH FISCHMANN & BREYFOGLE LLP  
3151 South Vaughn Way, Suite 411  
Aurora, Colorado 80014  
(720)562-5501

CC:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): **HEIM, Warren P.**

Docket No.

**40197-00536**

Serial No.  
Not Yet Assigned

Filing Date  
November 14, 2003

Examiner  
Not Yet Assigned

Group Art Unit  
Not Yet Assigned

Invention:

**"DIAGNOSTIC SIGNAL PROCESSING METHOD AND SYSTEM"**

I hereby certify that this Utility Patent Application

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on

November 14, 2003

*(Date)*

**Bobbye D. Simon**

*(Typed or Printed Name of Person Mailing Correspondence)*

  
*(Signature of Person Mailing Correspondence)*

**EV328104485US**

*("Express Mail" Mailing Label Number)*

**Note: Each paper must have its own certificate of mailing.**

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **HEIM, Warren P.**

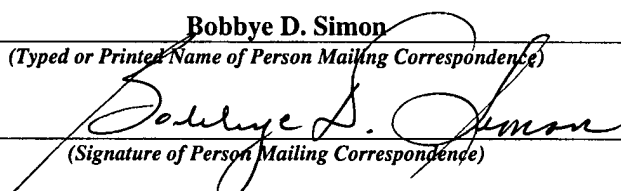
Docket No.

**40197-00536**Serial No.  
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November 14, 2003Examiner  
Not Yet AssignedGroup Art Unit  
Not Yet Assigned

Invention:

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November 14, 2003*(Date)***Bobbye D. Simon***(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)***EV328104485US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**